

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Yoshiaki IWATA et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2006\_0283A  
Filed March 13, 2006 :  
SEMICONDUCTOR MEMORY CARD :  
[Corresponding to PCT/JP2004/016954  
Filed November 15, 2004]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No. \_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

**ATTACHMENT D**

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.P./

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

10/571463

1AP20 Rec'd PCT/PTO 13 MAR 2006

- a. ☐ a full or partial English language translation submitted herewith,
- b. ☒ an International Search Report (in the English language) submitted herewith,
- c. ☐ the concise explanation contained in the specification of the present application at page,
- d. ☒ the concise explanation set forth in the attached English language abstract,
- e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

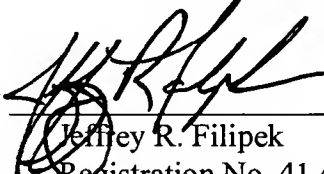
JP 2001-312410 corresponds to US 2002/0004874 and US 2006/0026351  
JP 2002-99475 corresponds to US 2002/0037745  
JP 2003-110976 corresponds to US 2003/0077064  
JP 2000-115253 corresponds to US 6,643,284, US 2004/0037243, and US  
2004/0077351

5. ☒ an International Search Report citing one or more of the references is enclosed.

Respectfully submitted,

Yoshiaki IWATA et al.

By



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March 13, 2006

## INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: March 13, 2006

ATTY DOCKET NO.  
2006\_0283ASERIAL NO.  
NEWAPPLICANT **Yoshiaki Iwata**  
**13 MAR 2006**FILING DATE  
March 13, 2006

GROUP

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE    | NAME         | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|----------------------|----|--------------------|---------|--------------|-------|----------|-------------------------------|
|                      | AA | 2002/0004874       | 1-10-02 | Agata et al. |       |          |                               |
|                      | AB | 2006/0026351       | 2-2-06  | Agata et al. |       |          |                               |
|                      | AC | 2002/0037745       | 3-28-02 | Yahiro       |       |          |                               |
|                      | AD | 2003/0077064       | 4-24-03 | Katayama     |       |          |                               |
|                      | AC | 6,643,284          | 11-4-03 | Inoue et al. |       |          |                               |
|                      | AF | 2004/0037243       | 2-26-04 | Inoue et al. |       |          |                               |
|                      | AC | 2004/0077351       | 4-22-04 | Inoue et al. |       |          |                               |
|                      | AH |                    |         |              |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES NO |
|--|----|--------------------|---------|---------|-------|----------|-----------------------|
|  | AI | 2001-312410        | 11-9-01 | Japan   |       |          | abstract              |
|  | AC | 2002-152272        | 5-24-02 | Japan   |       |          | abstract              |
|  | AK | 3408786            | 3-14-03 | Japan   |       |          | abstract              |
|  | AC | 2002-99475         | 4-5-02  | Japan   |       |          | abstract              |
|  | AM | 2003-110976        | 4-11-03 | Japan   |       |          | abstract              |
|  | AN | 2000-115253        | 4-21-00 | Japan   |       |          | abstract              |

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |  |
|--|----|--|
|  | AO |  |
|  | AP |  |
|  | AQ |  |

EXAMINER

/Jeffrey Popham/

DATE CONSIDERED

03/21/2009

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include cop. this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.P./